04-08-03

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#17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Edinger, et al.

SERIAL NUMBER:

10/087,684

EXAMINER:

Not Yet Assigned

FILING DATE:

March 1, 2002

ART UNIT:

1645

For:

Polypeptides and Nucleic Acids Encoding Same

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TECH CENTER 1600/2900

BOX IDS

APR 0 7 2003

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Supplemental Information Disclosure Statement (2 pages), in duplicate;
- 2. Modified Form 1449/PTO (1 page), in duplicate;
- 3. Copies of cited references; B1-B3 and C138-C143; and
- 3. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 21402-214 CIP. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Attorney for Applicants

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Dated: April 7, 2003

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PATENT TRADEMARK OFFICE

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Attorney Docket No.: 21402-214 CIP

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed within three months of the mailing date of the International Search Report for PCT/US 01/48922. Accordingly, no fee or certification is believed required.

A copy of each of the references in the above-identified application is enclosed unless otherwise indicated on the attached modified Form PTO-1449. It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS:

Edinger, et al.

U.S.S.N.:

10/087,684

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21402-214 CIP.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 99,529

Attorney for Applicants

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Dated: April 7, 2003

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Page 1 of 1

Please type a plus sign (+) in this box Applicati n Number Modified Form 1449/PTO

10/087,684 Filing Date 03/01/02 **First Named Inventor** Edinger INFORMATION DISCLOSURE STATEMENT BY APPLICANT **Group Art Unit** 1645 **Examiner Name** Not Yet Assigned (use as many sheets as necessary) 21402-214 CIP Attorney Docket Number

U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate

		-	10	FOREIGN PATENT DOCUMENTS	-	
Exam Initials	Cite No.	Foreig Office	n Patent Document Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No
	B1	wo	98/37085	The Regents of the University of California	08/27/1998	
	B2	wo	01/40466	Genentech, Inc.	06/07/2001	
	В3	wo	02/29058	Curagen Corporation	04/11/2002	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.				
	C138	EMBL Accession No.: AK022859 (29 September 2000).				
	C139	Hedgecock, et al. (1997). Tren in Genet 13(7): 251-253.				
	C140	International Search Report for PCT/US 01/48922, mailed February 28,2003.				
	C141	Komatsuzaki, et al. (2002). Biochem Biophys Res Comm 297(4): 898-905.				
	C142	Leonardo, et al. (1997). Nature 386(6627): 833-838.				
	C143	Nagase, et al. (1998). DNA Res 5: 277-286.				

Serial No	is reference is not provide filed continuation-in-part, and	as it was previously cited by or , and relied upon for an earlier ivisional applications).		
Examiner Signature			Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Page 1 of 1

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Modified Form 1449/PTO	Applicati n Number	10/087,684	
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STATEMENT BY APPLICANT	Group Art Unit	1645	
	Examiner Name	Not Yet Assigned	
(use as many sheets as necessary)	Attorney Docket Number	21402-214 CIP	

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	B1	wo	98/37085	The Regents of the University of California	08/27/1998		
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Serial No.			previously cited by or submitted to t ed upon for an earlier filing date undo applications).	
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